



3763

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Salahieh et al.

Serial No.: 10/001,396

Examiner: Unknown

Filed: October 18, 2001

Group Art Unit: 3763

For: VASCULAR EMBOLIC FILTER DEVICES AND METHODS OF USE  
THEREFOR

Docket No.: 1001.1505101

### TRANSMITTAL SHEET

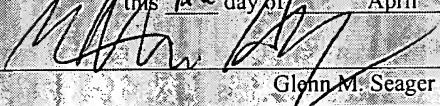
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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TECHNOLOGY CENTER R3700

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on

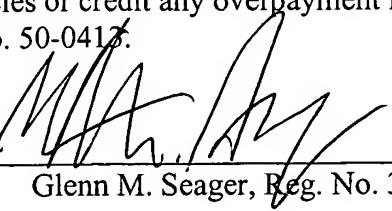
this 16 day of April, 2003.

By: 

Glenn M. Seager

We are transmitting herewith the attached:

- Information Disclosure Statement.
- Form 1449 with Four (4) References.
- Certification for Information Disclosure Statement under 37 CFR §1.97(e).
- Postcard receipt.
- Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0417.

By: 

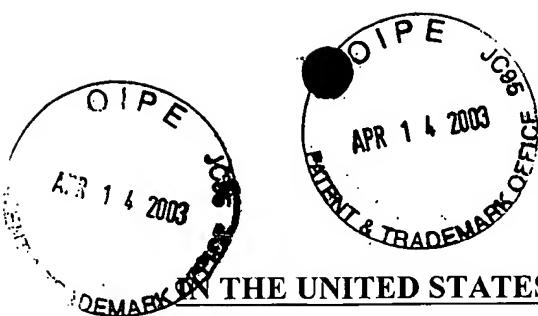
Glenn M. Seager, Reg. No. 36,926

CROMPTON, SEAGER & TUFTE, LLC  
1221 Nicollet Avenue, Suite 800  
Minneapolis, Minnesota 55403-2420  
Telephone: (612) 677-9050  
Facsimile: (612) 359-9349



28075

PATENT TRADEMARK OFFICE



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P A T E N T

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Assistant Commissioner  
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9 AM DAY OF April 2003.	
_____ Glenn M. Seager	

Dear Sirs:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56,  
the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references  
establishes, by itself or in combination with other information, a prima facie case of  
unpatentability of any claim of the present case.

Respectfully submitted,

  
\_\_\_\_\_  
Glenn M. Seager, Reg. No. 36,926  
CROMPTON, SEAGER & TUFTE, LLC  
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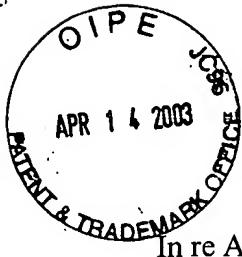
Dated: April 9, 2003



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PATENT TRADEMARK OFFICE

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.



PATENT

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Docket No.: 1001.1505101

**CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT**

**UNDER 37 CFR §1.97(e)**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

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*[Signature]*  
9th DAY OF April, 2003.  
Glenn M. Seager

Dear Sir:

1. This certification is being made for the Information Disclosure Statement  
accompanying this certification.

2. I, the person(s) signing below certify:

X That each item of information contained in the information disclosure  
statement was cited in a communication from a foreign patent office in a  
counterpart foreign application not more than three months prior to the filing of  
the statement. 37 CFR §1.97(e)(1).

or

— That no item of information contained in the information disclosure  
statement was cited in a communication from a foreign patent office in a

counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR §1.97(e)(2).

3. The person making this certification is the attorney who signs below on the basis of the information:

- supplied by the inventor(s).
- supplied by an individual designated in §1.56(c).
- in the attorney's file.



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